Modalities and Guidelines for REDD+Reference Levels

Prepared for The Government of Norway



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Goals – Inform SBSTA and COP-17

Stage I

 Assess issues that UNFCCC negotiators need to address to develop modalities for REDD+ Reference Levels and Reference Emissions Levels (RLs)

Stage II

 Propose guidelines for RLs for REDD+ for developing countries as part of UNFCCC modalities.

A REDD+ Reference Level is a benchmark for monitoring progress in reducing emissions

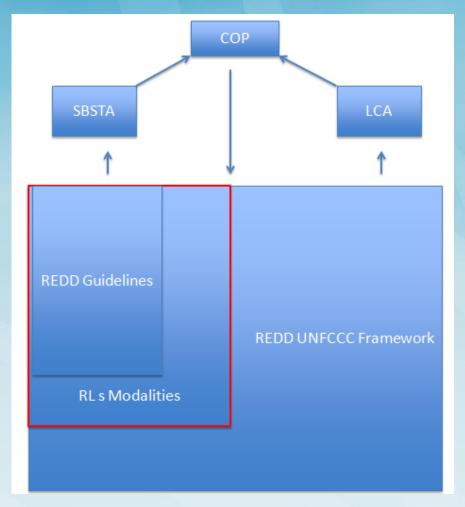
- Reference (Emission) Levels (RLs/RELs) are business-as-usual (BAU) baselines against which actual emissions are compared.
- Emission reductions are estimated as the difference between RLs and actual emissions within an established period.

Functions of RLs

- Inform on the effectiveness of REDD+ interventions.
- RLs underpin the credibility of REDD+.
- The process of establishing RLs can inform development and implementation of (sub)national REDD+ policies.
- RLs can inform the determination of results-based payments for REDD+.

Modalities and Guidelines for RLs

- Modalities: a set of binding requirements included in a COP decision formulating rules and standards
- Guidelines: a set of principles, criteria and steps included in a COP decision that assist countries in preparing a submission or meet an objective formulated by the COP



Developing a RL

Start from IPCC categories

Historic emissions and removals

Develop plan and procure the data needed

Develop activity data and emission factors

National circumstances

Identify relevant national circumstances

Bring robust and reviewed evidence justifying adjustments

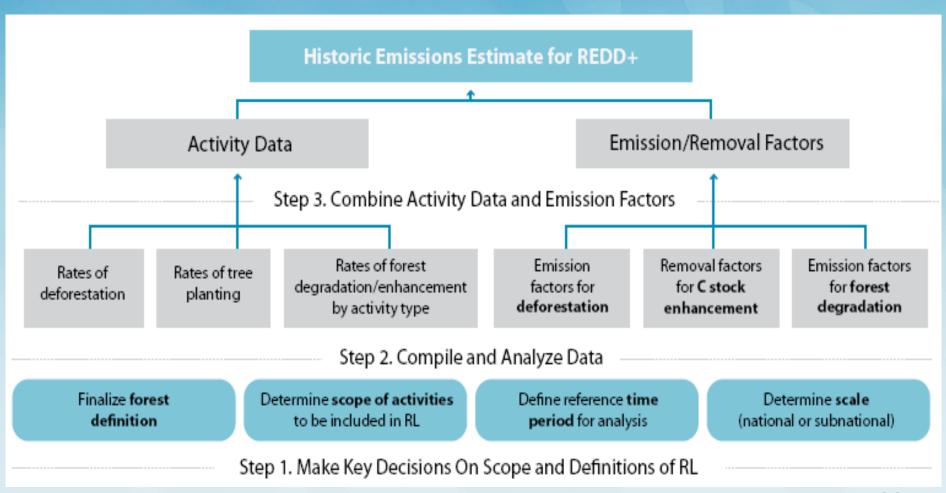
IPCC Reporting Principles

- Transparency
 - Clear and fully described assumptions and methods
- Completeness
 - Relevant pools and activities included
- Consistency
 - Accepted standards of carbon accounting followed
- Comparability
 - Comparisons among countries should be straight-forward
- Accuracy
 - Avoid bias, reduce uncertainty.

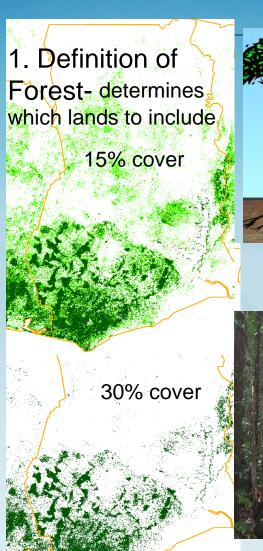
Scope of REDD+ RLs

- Forests converted to other lands
 - Deforestation
- Forests remaining as forests
 - Forest degradation
 - Conservation of forest carbon stocks
 - Sustainable management of forests
 - Enhancement of forest carbon stocks in existing forests
- Other lands converted to forests
 - Enhancement of carbon stocks through A/R

Historic Emissions and Removals



Data collection examples





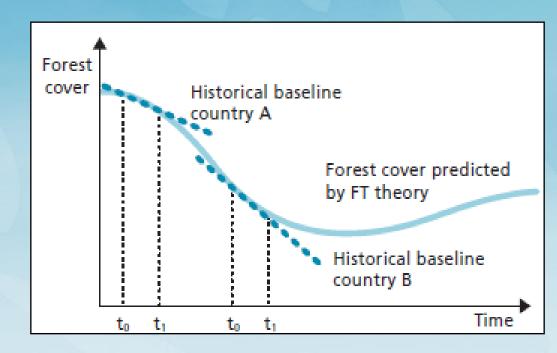




5. Interpret remote sensing imagery

National Circumstances: General adjustments considered

- Forest TransitionStage (forest cover, GDP)
- Drivers (e.g. agricultural commodity prices)



National Circumstances: Conclusions

- To date there is insufficient empirical evidence support generalized adjustments to RLs from historic emissions and removals
- Case-by-case adjustments should be supported by robust and verifiable empirical data, e.g.
 - evidence of implementation of national policies that have major impacts on future forest use
- Equity-based adjustments (e.g. forest cover, GDP) to influence REDD+ financing should be considered separately from adjustments to RLs.

Subnational RLs

- Parties can develop subnational RLs that constitute a step toward adopting national RLs.
- Subnational RLs should follow the same principles and steps laid out here for national ones.
- Subnational RLs should follow a common set of criteria that facilitate the subsequent reconciliation of RLs on the national level.

UNFCCC adoption of RLs

- RLs could be adopted through one of several alternative procedural approaches, including:
 - A single-undertaking top-down process
 - A country-driven sequential process
 - Hybrid process: political decision at the international level and consolidation with country submissions

Temporal Validity of RLs

RLs may be:

- Re-negotiated within a specific timeframe
- Re-submitted for review and adjustment
- Automatically revised
- Reviewed every X number of years e.g. in the context of national communications

Potential Outline for REDD+ RL Modalities: A Suggestion for SBSTA

- Principles
- Guidelines on RL Development
- Data Submission
- Notification of Preliminary RLs
- International Adoption of Final RLs
- Data Administration

REDD+ Reference Level reports are available online:

www.redd-oar.org

